

DBE Corporate & Departmental Risks *(Planning & Transportation Committee)*

APPENDIX 2

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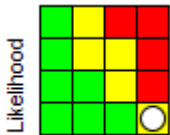
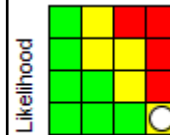

Rows are sorted by Risk Score

Code & Title: CR Corporate Risk Register 1 DBE Department of Built Environment Risk Register 1 DBE DS District Surveyor 1 DBE PL Chief Planning Officer & Development Director 2 DBE PP Policy & Performance 1 DBE TP Transportation and Public Realm 1

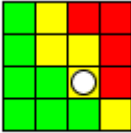
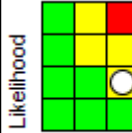

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score	Risk Update and date of update	Target Risk Rating & Score	Target Date	Current Risk score change indicator
CR20 Road Safety 23-Oct-2015 Carolyn Dwyer	<p>Cause: Limited space on the City's medieval road network to cope with the increased use of the highway by vehicles and pedestrians / cyclists within the City of London. Interventions & legal processes take time to deliver</p> <p>Event: The number of casualties occurring in the City rises instead of reducing.</p> <p>Effect: The City's reputation and credibility is adversely impacted with businesses and/or the public considering that the Corporation is not taking sufficient action to protect vulnerable road users; adverse coverage on national and local media</p>	<div> <div>Likelihood</div> <div>Impact</div> </div> <div>12</div>	<p>The City of London's Transport Strategy has been adopted and the Road Danger Reduction and Active Travel Plan will now be updated. Work to deliver both the Strategy and Action Plan continues, including finalising proposals for interim pavement widening and pedestrian crossing improvements at Bank junction.</p> <p>TfL are aiming to delivering changes at Ludgate Circus junction within three months.</p> <p>Following the resolution from the March 18th P&T Committee the wording of the risk has been reviewed and is going through the approval process.</p> <p>29 May 2019</p>	<div> <div>Likelihood</div> <div>Impact</div> </div> <div>6</div>	31-Dec-2019	<div> </div> <div>Constant</div>

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
CR20g Pilot Behaviour Change Campaign	Behaviour Change Campaign to address ‘inattention’. The process will be (1) use focus groups to identify options; (2) conduct attitudinal survey of road users; (3) prepare campaign delivery plan; (4) deliver campaign; (5) evaluate and report to Q4 2018/19.	This action has been completed.	Zahur Khan	29-May-2019	31-Mar-2019
CR20k Implement the Road Danger Reduction and Active Travel plan	<p>The Road Danger Reduction and Active Travel plan 2018 – 2023 (RDR Plan) aims to meet the Vision Zero objectives outlined in the Mayor’s Transport Strategy, whereby the annual number of people killed or seriously injured (KSI) in traffic incidents is zero by 2041.</p> <p>To meet this challenging target the plan proposes a number of behaviour change, enforcement, engagement and engineering initiatives that support safer travel by active modes (walking and cycling), whilst reducing road risks at source.</p>	<p>Safe vehicles - continuing to engage with insurance industry representatives to explore opportunities to collaborate on approaches to improving van driver behaviour</p> <p>Safe streets – Continuing to progress planning for lunchtime streets on St Mary Axe (August) and Chancery Lane (September)</p> <p>Safe behaviours – developing campaign targeted at powered two-wheeler riders to be delivered in June. Continuing programme of roadshows in partnership with employers.</p> <p>Worked with Living Streets to promote Hidden City Walking Routes.</p>	Zahur Khan	29-May-2019	31-Dec-2023

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DBE-PP-01a Business as usual mitigating controls	(1) Ongoing monitoring of government regulations; (2) continue monitor progress of, and seek to influence, forthcoming legislation	<p>Whilst this risk (at 12) is above appetite (8) to reduce the risk to appetite would require increased engagement by the City Corporation's Senior Members with Government, Opposition and the GLA to ensure that national and strategic policy is always appropriate for the City.</p> <p>We continue to monitor draft regulations to ensure they reflect or adapted to accord with City Corporation priorities.</p> <p>The City Corporation has made its case on outstanding matters in the Draft London Plan at the Examination in Public earlier this year.</p> <p>A final version NPPF published in July 2018 did not address all the City's concerns and subsequent proposed relaxations of Permitted Development Rights cause further concerns. These have been reiterated to Government in response to the public consultation.</p> <p>The new Housing Delivery Test is not appropriate to the City's circumstances. However, it was applied to the City and recent housing delivery has not met Government targets. The City Corporation is preparing an Action Plan to be considered by Members in July 2019. Housing delivery is expected to exceed targets in the next few years.</p>	Paul Beckett	29-May- 2019	31-Dec- 2019

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DBE-02 Service/Pipe Subways 02-Dec-2015 Ian Hughes; Giles Radford	Cause: Provide safe access and egress for utilities and maintenance functions, whilst having operatives entering the confined space to undertake checks. Event: A lack of Oxygen, poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces, Fire and explosions, hot conditions, Entrapment and falling debris. Impact: Fatality / Major Injury / Illnesses	 Likelihood	8	No further update 03 Jun 2019	 Likelihood	8	31-Dec-2019	 Constant

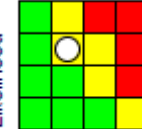


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DBE-02a Business As Usual Mitigations	<p>Confined space working is avoided when possible.</p> <p>All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. The following PPE and equipment shall be provided, as stated in the approved code of practice</p> <p>All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused.</p> <p>No booking will be granted to parties who are not on the database. If the contractor is not on the database they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access.</p> <p>All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways.</p> <p>Regular inspections of the structure, covers, condition and asbestos surveys are undertaken.</p> <p>The Permit to enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space.</p> <p>No smoking is allowed at any time.</p>	All business as usual mitigations have been reviewed, they are very much current and continue to work effectively	Giles Radford	01-Mar-2019	31-Dec-2019

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
DBE-DS-01 The District Surveyor's (Building Control) Division becomes too small to be viable 25-Mar-2015 Gordon Roy	Cause: Reduced Income causes the service to be unviable Event: Development market fails to maintain momentum or our market share shrinks Impact: Reduced staffing levels do not provide adequate breadth of knowledge and experience	 Likelihood Impact	8	The risk is unchanged. The Business Plan to establish a Local Authority Trading Company is still in development but has been paused pending the outcome of the Governments review of the recommendations following the publication of the Hackett Report following the Grenfell fire. This review may lead to an alternative business model. A committee report will be prepared for Autumn 2019. 29 May 2019	 Likelihood Impact	8	31-Dec-2019	 Constant

Action no, Title,	Action description	Latest Note			Action owner	Latest Note Date	Due Date
DBE-DS-01a Business as usual mitigating controls	(1) Continue to provide excellent services [evidenced by customer survey]; (2) Maintain client links with key stakeholders; (3) Continue to explore new income opportunities; (4) Continue to undertake cross-boundary working.	Business as usual controls have been reviewed and are still appropriate and effective.			Gordon Roy	29-May-2019	31-Dec-2019
DBE-DS-01c Business Plan development	Following approval of Summit Group, a Business Plan is being developed and to be presented to members for consideration later this year.	The Business Plan to establish a Local Authority Trading Company is still in development but has been paused pending the outcome of the Governments review of the recommendations following the publication of the Hackett Report following the Grenfell fire. This review may lead to an alternative business model. A committee report will be prepared for Autumn 2019. The due date for this action has been adjusted accordingly.			Gordon Roy	29-May-2019	29-Nov-2019

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Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-PL-06b Ensure sufficient resources are available	There are insufficient resources to address the recommendations within the next three months. Additional resources will be sought.	The data for the annual monitoring report has been produced for 2017/18 which will be reported to Committee before recess and the report for 2018/19 will be presented after recess. The ringfenced S106/CIL administration fee is being used to instruct Exacom consultants to input all remaining data.	Annie Hampson	31-May-2019	31-Oct-2019
DBE-PL-06c Interaction with software supplier & Chamberlain's Finance	There is a need to (a) import data from CBIS into Exacom to ensure that it contains up to date expenditure and allocation information; and (b) prepare the necessary budget reports from Exacom.	Discussions are ongoing with external parties and all data is in the process of being uploaded onto Exacom. There is now a better understanding of the complexity of this work and the target date has been adjusted accordingly.	Annie Hampson	31-May-2019	31-Mar-2020

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DBE-PL-02 Not being alive to the needs/requirements of the world business centre and the political environment 23-Mar-2015 Annie Hampson	Cause: Staff are badly briefed in relation to the planning development needs of the City as a world business centre Event: Perception that we are not responsive to the planning development needs of the City as a world business centre Impact: The City's reputation suffers and we fail to deliver buildings that meet the needs of the City as a world business centre	 Likelihood Impact	6	The risk has been reviewed and is assessed as unchanged, there continues to be uncertainty regarding the wider economic situation and in particular Brexit. 29 May 2019	 Likelihood Impact	6	31-Dec-2019	 Constant

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-PL-02a Business as usual mitigating controls	(1) Continue to work closely with other parts of the department; the City Property Advisory Team; other City of London Departments; & the Greater London Authority. (2) To work closely with the development industry, the City Property Association and hold regular meetings with City agents. (3) Participation at MIPIM.	The Business As Usual controls have been reviewed and we continue to work closely with the development industry, the City Property Association and hold regular meetings with City agents. These controls, which have been implemented, are appropriate and effective.	Annie Hampson	29-May-2019	31-Dec-2019

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Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
DBE-TP-03a Annual Spending Submission	Send Annual Spending Submission to TfL	2020/21 Annual Spending Submission due to be submitted in autumn 2019.	Bruce McVean	29-May- 2019	29-Nov- 2019
DBE-TP-03b TfL meetings	Conduct quarterly meetings with TfL-	Quarterly meetings being held as required.	Bruce McVean	29-May- 2019	31-Mar- 2020
DBE-TP-03c TfL Bid Process	Submit bid(s) in line with TfL timetable (e.g. Liveable Neighbourhoods)	Liveable Neighbourhood bid approved by TfL. Participation in future bidding rounds will be kept under review. The dates for this risk have been updated accordingly.	Bruce McVean	01-Mar- 2019	30-Nov- 2019